

### Appendix 3: Internal Audit Action Plans Requiring Sign Off

Dept	Audit Activity	Year	Status	By
<b>Managing the Business</b>				
	Evaluating Risk in Corporate Reporting	13/14	Report issued Jun 2014	Good Governance Report: Feb 2016
CS	Ethical Governance	14/15	Report issued Jun 2015	Good Governance Report: Aug 2016
<b>Managing Service Delivery Risks</b>				
PE	OFSTED Inspections of Children's Social Care Services and Schools	14/15	Report issued May 2015	Internal Audit: Apr 2016
PE	Education, Health and Care Plans	14/15	Report issued May 2015	Management: Feb 2016
PE	Safeguarding: Serious Case Review Action Plan Implementation	14/15	Report issued Dec 2014	Internal Audit: Apr 2016
PL	The Forum Governance Arrangements	14/15	Report issued Dec 2014	Internal Audit: Apr 2016
PE	Reablement	14/15	Report issued Aug 2015	Internal Audit: May 2016
CS	Welfare Reform	14/15	Report issued Apr 2015	Internal Audit: Apr 2016
PL	Traffic Management Schemes Implemented by Traffic Regulation Orders	14/15	Report issued May 2015	Internal Audit: Apr 2016
CS	ICT Asset Procurement	14/15	Report issued May 2015	Management: Feb 2016
CS	End User Devices Strategy	14/15	Report issued Jun 2015	Management: Feb 2016
PL	Licensing	15/16	Report issued Nov 2015	Internal Audit: Apr 2016
CS	Essential Living Fund	15/16	Report issued Sept 2015	Management: Feb 2016
PL	Development Control	15/16	Report issued Nov 2015	Management: Aug 2016
CS	Cleaning Services Contract Management	15/16	Report issued Dec 2015	Internal Audit: Aug 2016
CS	Third Party Hosting	15/16	Report issued Dec 2015	Internal Audit: Apr 2016

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Dept	Audit Activity	Year	Status	By
<b>Reports already revisited</b>				
PE	Children's Social Care Services Internal Assurance Processes	14/15 15/16	Report issued Dec 2014 Follow up report issued Sep 2015	Management: Aug 2016
PL	Capital Project Management	14/15 15/16	Report issued Mar 2015 Follow up report issued Dec 2015	Management: Aug 2016
PL	Parking Management Schemes	13/14 14/15	Report issued Feb 2014 Follow up report issued Mar 2015 Progress report to Audit Committee Sept 2015	Internal Audit and Management: Aug 2016
PE	Southend Adult Community College	13/14 14/15 15/16	Report issued Jun 2013 Follow up report issued Feb 2014 Progress report to Audit Committee Sept 2015	Internal Audit: Apr 2016
PE	Accounts Receivable, Social Care Debt	14/15 15/16	Report issued Jun 2015	Internal Audit: Mar 2016
PE	Direct Payments – Mental Health	14/15	Report issued Jun 2015	Internal Audit: Apr 2016